

# PATENT COOPERATION TREATY

From the RECEIVING OFFICE

## PCT

To:

ALEX L. YIP  
ATTORNEY AT LAW  
141-15 HOOVER AVENUE  
BRIARWOOD NY 11435

### NOTIFICATION OF THE INTERNATIONAL APPLICATION NUMBER AND OF THE INTERNATIONAL FILING DATE

(PCT Rule 20.5(c))

Date of mailing  
(day/month/year)

27 MAY 1997

Applicant's or agent's file reference  
A1SCHWARTZ1

#### IMPORTANT NOTIFICATION

International application No.

PCT/US97/06831

International filing date (day/month/year)

23 APR 97

Priority date (day/month/year)

23 APR 96

Applicant ASCOM HASLER MAILING SYSTEMS, INC.

Title of the invention SECURE POSTAGE PAYMENT SYSTEM AND METHOD

1. The applicant is hereby notified that the international application has been accorded the international application number and the international filing date indicated above.

2. The applicant is further notified that the record copy of the international application:



was transmitted to the International Bureau on

27 MAY 1997



has not yet been transmitted to the International Bureau for the reason indicated below and a copy of this notification has been sent to the International Bureau\*:



because the necessary national security clearance has not yet been obtained.



because (reason to be specified):

\* The International Bureau monitors the transmittal of the record copy by the receiving Office and will notify the applicant (with Form PCT/IB/301) of its receipt. Should the record copy not have been received by the expiration of 14 months from the priority date, the International Bureau will notify the applicant (Rule 22.1(c)).

#### 3. FOREIGN TRANSMITTAL LICENSE INFORMATION

Completed by: DHH



Additional license for foreign transmittal not required. This subject matter is covered by a license already granted on the equivalent U.S. national application. Refer to that license for information concerning its scope.



License for foreign transmittal not required. 37 CFR 5.11(e)(1) or 37 CFR 5.11(e)(2). However, a license may be required for additional subject matter. See 37 CFR 5.15(b).



Foreign transmittal license granted. 35 U.S.C. 184; 37 CFR 5.11 on 5-19-97 :  
(date)



37 CFR 5.15(a)



37 CFR 5.15(b)

Name and mailing address of the receiving Office

Assistant Commissioner for Patents  
Box PCT  
Washington, D.C. 20231

Facsimile No.

Attn: RO/US

Authorized officer

Yvette Simms  
Paralegal Specialist  
IAPD - PCT Operations  
(202) 895-8676

Telephone No.

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### INVITATION TO CORRECT DEFECTS IN THE INTERNATIONAL APPLICATION

(PCT Articles 3(4)(i) and 14(1) and Rule 26)

Applicant's or agent's file reference <b>AISCHWARTZ1</b>	Date of mailing <i>(day/month/year)</i> <div style="font-size: 1.2em; font-weight: bold;">27 MAY 1997</div>
International application No. <b>PCT/US97/06831</b>	International filing date <i>(day/month/year)</i> <div style="font-size: 1.2em; font-weight: bold;">23 APR 97</div>
Applicant <b>ASCOM HASLER MAILING SYSTEMS, INC.</b>	

The applicant is hereby invited, within the time limit indicated above, to correct the defects in the international application, which are specified on the attached

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Annex A

☐

Annex B

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Annex C

Additional observations (if necessary):

#### HOW TO CORRECT THE DEFECTS?

Correction must be submitted by filing a replacement sheet embodying the correction and a letter accompanying the replacement sheet, which shall draw attention to the difference between the replaced sheet and the replacement sheet. A correction may be stated in a letter only if it is of such a nature that it can be transferred from the letter to the record copy without adversely affecting the clarity and direct reproducibility of the sheet onto which the correction is to be transferred (Rule 26.4(a)).

#### ATTENTION

Failure to correct the defects will result in the international application being considered withdrawn by this receiving Office (see Rule 26.5 for further details).

A copy of this invitation and any attachments has been sent to the International Bureau

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and the International Searching Authority.

Name and mailing address of the receiving Office Assistant Commissioner for Patents Box PCT Washington, D.C. 20231 Facsimile No.	Authorized officer <div style="text-align: center;"> <b>Yvette Simms</b>                      Paralegal Specialist                      IAPD - PCT Operations                      (703) 605-3876                 </div> Telephone No.
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PCT/US 97/06831

The receiving Office has found the following defects in the international application:

1. As to **signature**\* of the international application (Rules 4.15 and 90.4), the request:

- a. ☐ is not signed.
- b. ☐ is not signed by all the applicants.
- c. ☐ is not accompanied by the statement referred to in the check list in Box No. VIII of the request explaining the lack of the signature of an applicant for the designation of the United States of America.
- d. ☒ is signed by what appears to be an agent/common representative but  
☒ the international application is not accompanied by a power of attorney appointing him.  
☐ the power of attorney accompanying the international application was not signed by all the applicants.
- e. ☐ other (*specify*):

\* All applicants must sign, including inventors if they are also applicants (e.g. where the United States of America is designated).

2. As to indications concerning the **applicant**, the request (Rules 4.4 and 4.5):

- a. ☐ does not properly indicate the applicant's name (*specify*):
- b. ☐ does not indicate the applicant's address.
- c. ☐ does not properly indicate the applicant's address (*specify*):
- d. ☐ does not indicate the applicant's nationality.
- e. ☐ does not indicate the applicant's residence.
- f. ☐ other (*specify*):

3. As to the **language** of some parts of the international application (Rule 12.1):

- a. ☐ the request is not in (one of) the admitted language(s) which is (are): \_\_\_\_\_
- b. ☐ the text matter of the drawings is not in (one of) the admitted language(s) which is (are): \_\_\_\_\_
- c. ☐ the abstract is not in (one of) the admitted language(s) which is (are): \_\_\_\_\_

4. The **title** of the invention:

- a. ☐ is not indicated in Box No. 1 of the request (Rule 4.1(a)).
- b. ☐ is not indicated at the top of the first sheet of the description (Rule 5.1(a)).
- c. ☐ as appearing in Box No. 1 of the request is not identical with the title heading the description (Rule 5.1(a)).

5. As to the **abstract** (Rule 8):

- ☐ the international application does not contain an abstract.

The physical requirements of the international application are not complied with to the extent which is necessary for the purpose of a reasonably uniform international publication, as specified below (Rule 11). The receiving Office has found the following defects in the presentation of the drawings of the international application:

I. In regard to the sheets containing drawings:

- a. ☐ the sheets do not admit of direct reproduction.
- b. ☐ the sheets are not free from creases, cracks, folds.
- c. ☐ one side of the sheets is not left unused.
- d. ☐ the paper of the sheets is not flexible/strong/white/smooth/non-shiny/durable.
- e. ☐ the drawings do not commence on a new sheet.
- f. ☐ the sheets are not connected as prescribed (Rule 11.4(b)).
- g. ☐ the sheets are not A4 size (29.7cm x 21cm).
- h. ☒ the minimum margins on the sheets are not as prescribed (top: 2.5cm; left side: 2.5cm; right side: 1.5cm; bottom: 1cm). **FIG. 1-3A, 4-6**
- i. ☐ the file reference number indicated on the sheets does not appear in the left-hand corner of the sheets, within 1.5 cm of the top of the sheets.
- j. ☐ the file reference number exceeds the maximum of 12 characters.
- k. ☐ the sheets are not free from frames around usable or used surfaces.
- l. ☒ the sheets are not numbered in consecutive Arabic numerals (e.g. 1/3, 2/3, 3/3).
- m. ☐ the sheet numbers are not centered at the top or bottom of the sheets.
- n. ☐ the sheet numbers are in the margin (see h. above for the size of the margins).
- o. ☐ the sheets contain alterations/overwritings/interlineations/too many erasures.
- p. ☐ the sheets contain photocopy marks.

II. The drawings (Rule 11.13):

- a. ☐ do not admit of direct reproduction.
- b. ☐ contain unnecessary text matter.
- c. ☐ contain words so placed as to prevent translation without interference with lines thereof.
- d. ☐ are not executed in durable black color; the lines are not uniformly thick and well-defined.
- e. ☐ contain cross-sections not properly hatched.
- f. ☐ would not be properly distinguishable in reduced reproduction.
- g. ☐ contain scales not represented graphically.
- h. ☐ contain numbers, letters and reference lines lacking simplicity and clarity.
- i. ☐ contain lines drafted without the aid of drafting instruments.
- j. ☐ contain disproportionate elements of a figure not necessary for clarity.
- k. ☐ contain numbers and letters of height less than 0.32 cm.
- l. ☐ contain letters not conforming to the Latin, and where customary, Greek alphabets.
- m. ☐ contain figures on two or more sheets which form a single complete figure but which are not able to be assembled without concealing parts thereof.
- n. ☐ contain figures which are not properly arranged and clearly separated.
- o. ☐ contain different figures not numbered in consecutive Arabic numerals.
- p. ☐ contain different figures not numbered independent of the numbering of the sheets.
- q. ☐ are not restricted to reference signs mentioned in the description.
- r. ☐ do not contain reference signs that are mentioned in the description.
- s. ☐ \* Photographs cannot be presented unless direct reproduction has clarity.

NEW DWG'S REQ'D